



Serial No.: 09/469,897  
Examiner: Nguyen, Phuongchau Ba

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dove  
Serial No.: 09/469,897  
Filed: 12/22/1999  
Title: Stackplane Architecture


Docket: 135577  
Art Unit: 2665  
Examiner: Nguyen, Phuongchau Ba

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents  
Mail Stop RCE  
P. O. Box 1450  
Alexandria VA 22313-1450

Certificate Under 37 C.F.R. §1.8(a)

I hereby certify that the above correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope directed to: Commissioner for Patents, Mail Stop RCE P. O. Box 1450 Alexandria VA 22313-1450 on 5/21, 2004.

  
Terri Pearson

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MAY 26 2004

Dear Sir:

Technology Center 2600

In the matter of the above-identified application, Applicant is submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This Information Disclosure statement is being submitted:

- ☐ 1) within three months of the filing date
- ☐ 2) within three months of the date of entry of the national phase
- ☐ 3) before the mailing date of a first Office Action on the merits
- ☐ 4) before the mailing date of either a Final Action or a Notice of Allowance and is

accompanied by

- ☒ 1) the fee of \$180.00 set forth in 37 CFR 1.17(p).
- ☒ 2) the following certification specified in 37 CFR 1.97(e).

On information and belief, I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

05/25/2004 DEHANU1 00000053 09469897

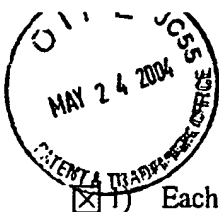
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☒ 1) Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

☐ 2) To the extent the documents listed on the attached form equivalent to Form PTO-1449 are not in the English language, the requirement of 37 CFR 1.98(a) (3) for a concise explanation of the relevance is satisfied by

☐ 1) an English language version or translation of the foreign patent office report citing the documents.

☐ 2) the following remarks.


☐ 3) the discussion of these documents in the specification.

It is respectfully requested that this Information Disclosure Statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, includes extension of time fees, to the Deposit Account No. 50-0838 of Alcatel USA, and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ALCATEL USA

  
Craig A. Hoersten  
Reg. No. 38,917

Dated: 5/21/04

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

Sheet	1	of	1
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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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**Complete if Known**

Application Number	09/469,897
Filing Date	12/22/1999
First Named Inventor	Dove
Art Unit	2665
Examiner Name	Nguyen, Phuongchau Ba
Attorney Docket Number	135577

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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